

FORM PTO-1083
Mail Stop: AMENDMENT
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: 159.1001US
Date: December 28, 2009

In re application of: Frederick H. Miller, et al.
Serial No.: 10/804,576
Filed: March 19, 2004
For: **Multi-Phase, Multi-Compartment Capsular Delivery Apparatus and Methods for Using Same**

Sir:

Transmitted herewith is an **Information Disclosure Statement under 37 C.F.R. § 1.56 (2 pages)** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
- ☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
- ☒ No fee for additional claims is required.
- ☐ A filing fee for additional claims calculated as shown below, is required:

- ☒ Also transmitted herewith are:
 - ☒ PTO Form 1449 (1 page) with copies of cited references
 - ☐ Other:

- ☐ Check(s) in the amount of \$0.00 is/are attached to cover:
 - ☐ Other:

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.

- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

/Clifford M. Davidson/
Clifford M. Davidson, Reg. No. 32,728
DAVIDSON, DAVIDSON & KAPPEL, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
Tel: (212) 736-1940
Fax: (212) 736-2427